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| 10/084,379 | 02/28/2002 | Akemi Hirotsune | H&A-108 | 1244 |

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| EXAMINER |
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AUGUSTIN, EVENS J

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| ART UNIT | PAPER NUMBER |
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3621

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10/17/2008

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

| | | | |
|------------------------------|--------------------------------------|-----------------------------------------|--|
| Office Action Summary | Application No. 10/084,379 | Applicant(s) HIROTSUNE ET AL. | |
| | Examiner EVENS J. AUGUSTIN | Art Unit 3621 | |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 25 July 2008.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-6, 8-25, 29 and 40-75 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-6, 8-25, 29, 40-75 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--------------------------------------------------------------------------------------|-------------------------------------------------------------------|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Acknowledgements

1. This is in response to an RCE filed on 12/26/2007. Claims 1, 4, 11 and 13 have been amended. Claims 44-75 has been added. Claims 1-6, 8-25, 29, 40-75 are pending.

Response to Arguments

After further consideration, Examiner has found the prior art by Ueki (U.S 6, 678236), in view of Maeda et al. (US 6654547) and Nishio (US 5887192) to be applicable. In order to further advance prosecution, Examiner is advising applicant to contact the Examiner at the number below to discuss the unique and innovative aspects of the application.

Claim Rejections - 35 USC § 103

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

1. Claims 1-6, 8-10, 12-25, 29, 40-75 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ueki (U.S 6, 678236), in view of Maeda et al. (US 6654547).

2. As per claims 1-6, 8-10, 12-25, 29, 40-75, Ueki discloses a method and an apparatus for recording information on a recording medium. In addition, this invention relates to a method and an apparatus for reproducing information from a recording medium. The invention comprises of:

- A. The **lead in area of the disk is being made a recording-limited area by error correction process on a signal read out from a recording disc, for example, a DVD-Video or a DVD-RW.** In this case, the **signal or data being read** is copy protection data (column 10, lines 33-36, lines 44-54). However, artisans in the art would understand that the **data could any data, including advertising data (Per Merriam-Webster's dictionary, data = 1: information output by a sensing device or organ that includes both useful and irrelevant or redundant information and must be processed to be meaningful 2: information in numerical form that can be digitally transmitted or processed) -- ("providing a recording limited area on a recording medium that has a recording limit and is recognized as an area in which recording of information cannot be performed")**
- B. Figure 15 shows the sequence of events in the lead area when recording is initiated. First, the data is recorded (pre-recorded), and continues until pit area where the prerecorded data is located. At that point, recording is suspended and the system changes operation from recording to playback. **At the end of the playback operation recording is resumed (equivalent to canceling the recording-limited area)** (column 26, lines 48-67). Data is recorded in the lead-in area (column 11, lines 1-15) **--(" canceling the recording limit for the recording-limited area") --.**

- C. Content to be recorded in the lead in area contains data sectors and those sectors are composed of **ID information (equivalent to address information)**, an ID-information error correction code (IED) 22, reserved data, a data region 24, and an error detection code (EDC) (column 11, lines 31-37). **Address information or allocation data is recorded** in the lead in area (column 17, lines 15-37) --(**" recording new-information in said recording-limited area after said canceling of the recording limit"**); (**"recording limit is provided by rendering a read-out of allocation information for said recording-limited area impossible, and the step of canceling the recording limit comprises a step of recording allocation information for said recording-limited area in said recording medium"**); (**"recording limit limits recording by rendering an ID error detection code of address information for said recording-limited area inconsistent with an ID corresponding to said ID error detection code, and the canceling of the recording limit is performed by rendering said ID error detection code thus made inconsistent, to be consistent with said ID"**)--
- D. The pre-recorded data, in this case, copyright information of disk, **is represented by pits** (column 9, lines 48-49). At an initial stage of a recording mode of operation of the apparatus, the **address information** is reproduced from the address pre-pits in the DVD-RW. During a later stage of the recording mode of operation, **recording positions on the DVD-RW are decided in response to the address information** (equivalent to allocation information), **and lead-in information and contents information are recorded on the decided recording positions of the DVD-RW** (column 18, lines 30-36). The lead-in area has recording areas, **except where the copyright information is**

location (column 17, lines 1-21). **Inherently, since the copyright information area cannot be erased or overwritten (column 14, lines 17-20), the reading of its address information is impossible.** Since no recording can be done in prerecorded area, the address information is **inherently erased (can't be read) --Claims 2-3, 9-10, 13-16**

- E. Figure 15 shows the sequence of events in the lead area when recording is initiated. First, the data is recorded, and continues until pit area where the prerecorded data is located. At that point, recording is suspended and the system changes operation from recording to playback. **At the end of the playback (display/readout) operation recording is resumed (equivalent to canceling the recording-limited area)** (column 26, lines 48-67). Since no recording can be done in prerecorded area, the address information is **inherently erased (can't be read)– ("recording limit is provided by rendering a read-out of allocation information for said recording-limited area impossible, and the step of canceling the recording limit comprises canceling the recording limit using predetermined software") --**
- F. First, the data is recorded (pre-recorded), and continues until pit area where the prerecorded data is located. At that point, recording is suspended and the system changes operation from recording to playback. **At the end of the playback operation recording is resumed (equivalent to canceling the recording-limited area)** (column 26, lines 48-67). Data is recorded in the lead-in area (column 11, lines 1-15). The playback signal (pre-recorded information) can be displayed/readout via a **monitor device (a display)** (column 21, lines 9-10) – the aspect of issuing instructions to do the above is inherent. The above steps have to necessarily be initiated by computer instructions - (**"providing**

pre-recorded information"); ("issuing an instruction to record information to said recording medium prior to the canceling of said recording limit; and issuing an instruction for reading the pre-recorded displaying predetermined information in response to the recording instruction being issued") --

- G. The lead-in area of the disc contained **predetermined information** (column 7, lines 26-28). That **predetermined information** can be information related to the manufacture of the disc, information of a contents start position, and copyright-protection-related information (column 17, lines 5-7). **Since is that information is predetermined, one skilled in the art would be able to put any type of previously recorded information on the disk, including advertisement.** --
- H. As previously shown, the pre-recorded is displayed through **error** or **defective** read out (column 10, lines 33-36, lines 44-54). The system also shows that it can correct the errors, where the data amount (the number of bits) of the recorded copyright-protection-related information is within the range of the ability of an ECC (**error correction code**) block, containing the pre-pit area, to **correct errors** (equivalent to no longer defective) (column 10, lines 33-44). -
- I. Figure 15 shows the sequence of events in the lead area when recording is initiated. First, the data is recorded, and continues until pit area where the prerecorded data is located. At that point, recording is suspended and the system changes operation from recording to playback. **At the end of the playback operation (which is the specified event), recording is resumed (equivalent to canceling the recording-limited area)** (column 26, lines 48-67) --

J. The playback signal can be displayed via a **monitor device (a display)** (column 21, lines 9-10) -

3. Ueki did not explicitly describe a method/system in which the prerecorded is an advertisement. However, Maeda et al. describes an invention that relates to DVD (Digital Versatile Disc) rental systems and methods, and more specifically, to a DVD rental system and method for recording video and sound on a DVD, and lending the DVD to a user. According to Maeda et al., the user presses a PLAY button to make an instruction for starting playback. In response, the playback/recording controller 36 instructs the DVD read part 32, the MPEG decoder 34, and the video display part 35 to execute a Commercial Message (CM) or advertisement playback process as follows. That is, the CM recorded in step S302 is read from the RAM area 1b of the DVD 1, and the decoded CM is converted into video signals for output to the television receiver (step S306). This CM playback process ends after a predetermined number of CMs, for example, six CMs (their total time is 1 and a half minutes) are played-back (figure 7, col. 12, ll. 13-23).

4. Therefore, it would have been obvious for one skilled in to provide CDs or DVDs, which has prerecorded advertisement on them. The reason for doing so would provide a DVD rental system and method that can have a user (renter) view video and sound recorded on a DVD together with CMs with high advertising effectiveness and, as a result, can sufficiently reduce a rental fee to be charged to the user (col. 2, ll.10-14).

5. Claim 11 is rejected under 35 U.S.C. 103(a) as being unpatentable over Ueki (U.S 6, 678236), in view of Maeda et al. (US 6654547) and in further of Nishio (US 5887192).

6. As per claims 11 and 34, Ueki and Maeda et al.'s inventions have previously been disclosed.
7. Ueki and Maeda et al. did not explicitly describe a method/system in which data on the disk is encrypted.
8. However, Nishio describes an invention that teaches movie data on a CD, along with advertisement data (col. 5, ll. 40-43). According to Nishio, unit data may be each previously encrypted or compressed. If the unit data is compressed beforehand, there may be provided an expanding means for restoring the unit data by expanding the compressed unit data. If the unit data is encrypted beforehand, there may be a decrypting means for decrypting the encrypted software (col. 3, ll. 20-24).
9. Therefore, it would have been obvious for one skilled in to provide system in which data on a disk encrypted. The reason for doing so would be because encryption prevents unauthorized access to data, especially during transmission.

Conclusion

3. In determining patentability of an invention over the prior art, the USPTO has considered all claimed limitations, and interpreted as broadly as their terms reasonably allow. Additionally, all words in the claims have been considered in judging the patentability of the claims against the prior art.
4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to EVENS J. AUGUSTIN whose telephone number is 571-272-6860. The examiner can normally be reached on 10am - 6pm M-F.

5. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Andrew Fischer can be reached on (571)272-6779.

/Evens J. Augustin/

October 17, 2008

Art Unit 3621